

02/01/02

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PTO/SB-05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. S300-9901-013B

First Inventor Arthur J. Shrader

Title Personal Identification for Improved Hygiene

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB-17)
(Submit an original and a duplicate for fee processing.)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 20]
(Preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. Oath or Declaration [Total Pages]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- c. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/3C or its equivalent.
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)

of prior application No. 09, 434,792

Prior application information:

Examiner

Luan K. Bui

Group Art Unit: 3728

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 6b, is considered a part of the disclosure of this accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Labelor ☐ Correspondence address below

Name Harold Levine

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City Plano

State TX

Zip Code

75074

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Telephone 972-398-6041

Fax 972-398-6095

Name (Print/Type) Harold Levine

Registration No. (Attorney/Agent)

19,316

Signature

Date

JAN 14, 2002

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FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$) 355.00**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	Arthur J. Shrader
Examiner Name	Luan K. Bui
Group Art Unit	3728
Attorney Docket No.	S300-9901-013B

METHOD OF PAYMENT**FEE CALCULATION (continued)**

- 1.
- ☐
- The Commissioner is hereby authorized in charge indicated fees and credit any overpayments to:

Deposit Account Number: 11-1184
 Deposit Account Name: Harold Levine, Esq. EC

- ☐
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
-
- ☒
- Applicant claims small entity status. See 37 CFR 1.77

- 2.
- ☐
- Payment Enclosed:
-
- ☒
- Check
- ☐
- Credit card
- ☐
- Money Order
- ☐
- Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355.
106	320	208	160	Design filing fee	
107	400	207	240	Plant filing fee	
108	710	208	355	Provisional filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					(\$) 355.

2. EXTRA CLAIM FEES

Total Claims: - 20 - X =
 Independent Claims: - 3 - X =
 Multiple Dependent: - =

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
103	16	203	9	Claims in excess of 20	
102	00	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	60	209	40	Release independent claims over original patent	
110	16	210	0	Release claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

*or number previously paid, if greater; For Releases, see above

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	85	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
130	130	130	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	65	Extension for reply within first month	
116	360	216	195	Extension for reply within second month	
117	690	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,690	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	165	Filing a brief in support of an appeal	
121	270	221	125	Request for oral hearing	
138	1,610	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or release)	
143	440	243	220	Design issue fee	
144	000	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	60	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	160	Submission of Information Disclosure Sheet	
561	40	561	40	Rounding each patent assignment per property (three number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
148	710	248	365	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	365	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify):					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					(\$)

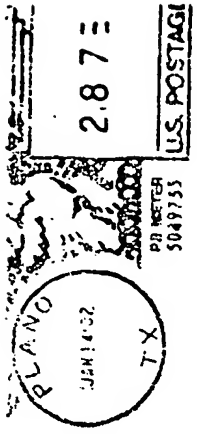
SUBMITTED BY**Complete if applicable**

Name (Print/Type)	Harold Levine	Registration No. (Attorney/Agent)	19,316	Telephone	972-398-6041
Signature	<i>Harold Levine</i>	Date	JAN 14, 2002		

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<p>HAROLD LEVINE, ESQ. Attorneys and Counselors at Law 101 E. PARK BLVD., SUITE 755 PLANO, TX 75074</p>	<p>Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231</p>
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